

### **Policy for unauthentic News Circulation**

Policy created by:	Operational/ Risk Management Staff /
Policy reviewed by:	Compliance Officer
Policy created on:	01.01.2010
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Approval Authority	Director
Policy approved on:	11.07.2023
Periodicity of Review:	Yearly or time to time as per requirement
Version Number:	UNC/2023
Officer responsible for implementation:	Compliance Officer

The purpose of this policy is to avoid the unauthenticated news circulation related to various scrips by employees without adequate caution.

Market news circulated through blogs/chat forums / email by employees without adequate caution can do considerable damage to the normal functioning and behavior of the market and distort the price discovery mechanisms.

Therefore all the employees of the organization should follow internal code of conduct and controls of the company. Employees or Temporary

staff working in the office will not encourage or circulate rumors or unverified information obtained from the client, industry and trade or any other sources.

**Access Control:** There is no Access to chat forums/ Messenger sites to all the staff. Only senior officials including Directors, Compliance Officer and Manager have the access to the said.

Any information or market related news received by staff in official mail or their personal mail should be forwarded only after the same has been seen and approved by **Mr. Ved Prakash Wadhwa , Compliance Officer.**

If any employee fails to follow these regulations, he /she will be liable for strict actions.

For Ganpati

Director / Authorised Signatory



# Ganpati