



GANPATI SECURITIES

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Member- BSE, NSE Capital, F&O, Currency, Debt & MFSS

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Square off position/Liquidation of securities

Policy created by:	RMS Head
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Officer responsible for implementation:	RMS Head

I. Square off position/Liquidation of securities

Square off position:

- If shortfall in margin are occur then we demanding to fulfill the same immediately in either form like fund, securities or bank guarantee.
- We are giving appropriate and necessary time to our constituent to fulfill shortfall of margin or fund.
- If clients are not willing to fulfill his/her shortfall or payment requirement then we start to make pressure on client to square off his/her position themselves.
- In mostly cases client are square off their position themselves.
- If client are not willing to either fulfill shortfall or square off their position then we square off their position fully/partially as per requirement (comfortable level)

Liquidation of securities:

- If payments are due in client's account then we demand for the same in our due course.
- In normal cases clients clear their dues in due course.
- In some case client request us for time extension to make the dues and we consider it with view of their past track record and credibility.
- After giving the appropriate time and if client is not clear their dues then we warn them to liquidate their securities if they will not clear their dues with in extra time given by us in considering market vitalities.
- If client is not able to clear their dues with in given time then we start to make pressure on client to liquidate their securities and clear their dues.
- In mostly cases client are liquidate their securities themselves and clear their dues.

If client are not willing to either clear their dues or liquidate their position then we liquidate their securities fully/partially as per requirement to clear their dues.

For Ganpati Finsec Private Limited



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