

**Annexure B: - Formats of Mandatory display**

**i. Basic details of the SB such as registration number, registered address of Head Office and branches if any**

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
GANPATI FINSEC PVT LIMITED	SEBI Reg. No. INZ000304538	37 K BLOCK, SRINAGANAGAR-335001		01542483017	info@ganpationline.com
			309 III rd floor, Vijay City Point Ahinsa Circle, Jaipur	8829802007	dshrimal@ganpationline.com
			35 CR Avenue, Room No.	033-40649443	ro.kolkata@ganpationline.com
			Udyog Bazar, New Dhan Mandi Road, Ganganagar-335001	0154-2483006	dsharma@ganpationline.com

**Escalation Matrix:**

Details of	Contact Person	Address	Contact No.	Email Id
Customer care	Mr. Jagtar Singh	37 K Block, SriGanganagar	7023110007	support@ganpationline.com
Head of Customer care	Mr. Akshay Aggarwal	37 K Block, SriGanganagar	8829808007	aaggarwal@ganpationline.com
Compliance Officer	Mr. Ved Prakash Wadhwa	37 K Block, SriGanganagar	9351377698	vpwadhwa@ganpationline.com
CEO	Mr.Devender Mittal	37 K Block, SriGanganagar	9352700789	dmittal@ganpationline.com

**ii. Names and contact details of all Key Managerial Personnel including the Compliance Officer-**

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1	Mr. Devender Mittal	KMP Designated Director	9352700789	dmittal@ganpationline.com
2	Mr. Ved Prakash Wadhwa	Compliance Officer	9351377698	vpwadhwa@ganpationline.com

**iii Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc.**

a) Detailed write up on the procedure for opening an account along with Flowchart and video if any (optional).

<https://ganpationline.co.in/pdf/Account-Opening-process-230823.pdf>

b) Detailed write up on procedure for filing a complaint on designated email id/ Toll-free number along with Flowchart and video if any (optional). Provisions are to be made for sharing Ticket Number once the complaint is lodged.

c) Detailed write up on procedure for finding out status of the complaint basis Ticket Number etc. along with Flowchart and video if any (optional).

### Timelines for complaint resolution process at Stock Exchanges against stock brokers

S. No.	Type of Activity	Timelines for activity
1.	Receipt of Complaint	Day of complaint (C Day).
2.	Additional information sought from the investor, if any, and provisionally forwarded to stock broker.	C + 7 Working days.
3.	Registration of the complaint and forwarding to the stock broker.	C+8 Working Days i.e. T day.
4.	Amicable Resolution.	T+15 Working Days.
5.	Refer to Grievance Redressal Committee (GRC), in case of no amicable resolution.	T+16 Working Days.
6.	Complete resolution process post GRC.	T + 30 Working Days.
7.	In case where the GRC Member requires additional information, GRC order shall be completed within.	T + 45 Working Days.
8.	Implementation of GRC Order.	On receipt of GRC Order, if the order is in favour of the investor, debit the funds of the stock broker. Order for debit is issued immediately or as per the directions given in GRC order.
9.	In case the stock broker is aggrieved by the GRC order, will provide intention to avail arbitration	Within 7 days from receipt of order
S. No.	Type of Activity	Timelines for activity
10.	If intention from stock broker is received and the GRC order amount is upto Rs.20 lakhs	Investor is eligible for interim relief from Investor Protection Fund (IPF).The interim relief will be 50% of the GRC order amount or Rs.2 lakhs whichever is less. The same shall be provided after obtaining an Undertaking from the investor.
11.	Stock Broker shall file for arbitration	Within 6 months from the date of GRC recommendation
12.	In case the stock broker does not file for arbitration within 6 months	The GRC order amount shall be released to the investor after adjusting the amount released as interim relief, if any.

### IV Details of Authorized Persons

List Of Authorised Persons (AP)

	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constitution	Status (Approved/Cancelled)	Registered Address				Terminal Details (Exchange Wise)	
					Add	City	State	Pin code	Terminal Allotted (Y/N)	No. of Terminals
1	RAKESH BAJAJ	AP240000011	Individual	Approved	H.NO. 1160ST. NO. 1ABOHAR ROAD.	MUKATSAR	PUNJAB	15206	Yes	2
2	DURGA SECURITIES	AP240000022	PartnerShip	Approved	SHOP NO.5 GOUSHALA COMPLEX SRI GANGANAGAR	SRI GANGANAGAR	RAJASTHAN	335001	No	0
3	JAI TIRUPATI SECURITIES	NSE AP240000031 BSE AP0165630159357	Proprietor	Approved	JAI TIRUPATI HOUSE60POLICE COLONYH.H AREA	HANUMANGARH	RAJASTHAN	335513	Yes	3
4	JMD STOCKS	AP240000041	Proprietor	Approved	28MANDIBLOCKSRI KARANPUR	SRIKARANPUR	RAJASTHAN	335073	No	0
5	JAI AMBEY CAPITAL	AP240000051	Proprietor	Approved	157TEH BAZARISBI ROADNEW DHAN MANDISADUL SAHAR	SADUL SAHAR	RAJASTHAN	335062	No	0
6	HARI KISHAN LAHOTI	AP240000061	Individual	Approved	69SHANKAR COLONY 1STMEERA CHOWK	SRI GANGANAGAR	RAJASTHAN	335001	No	0
7	SURENDER KUMAR	AP240000071	Proprietor	Approved	INFRONT OFLIC OFFICE	SANGARIA	RAJASTHAN	335063	Yes	2
8	ARIHANT SECURITIES	AP240000081	Proprietor	Approved	B-91 SAMTA NAGAR OPP NEW ANAJ MANDI	BIKANER	RAJASTHAN	334001	No	0
9	PRITI BHATIA	AP240000091	Individual	Approved	11UDYOG BAZAR NEW DHAN MANDI ROAD NEW DHAN MANDI ROAD	SRI GANGANAGAR	RAJASTHAN	335001	Yes	2
10	HARINDER KAUR GROVER	AP240000101	Individual	Approved	210MUKHERJEE NAGARSRI GANGANAGAR	SRI GANGANAGAR	RAJASTHAN	335001	Yes	2
11	VANITA JANGIR	AP240000131	Individual	Approved	H.NO. M-B-11 MANSAROVAR COLONY NEAR NOSEGAY PUBLIC SCHOOL	SRI GANGANAGAR	RAJASTHAN	335001	Yes	2
12	RAVI KANT SIGCHI	AP2400000141	Individual	Approved	WARDNO. 17SONI MARKETSRURAT GARH	SURATGARH	RAJASTHAN	335804	No	0
13	ASHISH PODDAR	AP2400000151	Individual	Approved	BTCOLLEGE ROADWESTGUWAHATI	GUWAHATI	ASSAM	781007	No	0
14	VIJAY RATTAN MITTAL	AP2400000161	Individual	Approved	403MEGHDOOT BUILDING ARAHEJA TOWNSHIPMALAD (EAST)	MUMBAI	MAHARASTRA	400097	No	0
15	SHIVAM MITTAL	AP2400000171	Individual	Approved	7B13JAWAHAR NAGARSRI GANGANAGAR	SRI GANGANAGAR	RAJASTHAN	335001	No	0
16	RUBILLA ARORA	AP2400000181	Individual	Approved	32MASJID KE AAS PASDIGAWALATEH PILIBANGAPILIBANGA	PILIBANGAN	RAJASTHAN	335803	No	0
17	LOKESH GARG	AP2400000191	Individual	Approved	185 BIST FLOORNEW ANAJ MANDINEW ANAJ MANDI	HISAR	HARIYAN	125001	Yes	1
18	AMINUL HOQUE	AP2400000201	Individual	Approved	G.B.ROADBIJNICHIRANG BTADBONGAIGAON AS	BONGAIGAON	ASSAM	783390	No	0
19	MOHIT JAIN	AP2400000211	Individual	Approved	WARDNO.10JAIN CHOWKNOKHA	NOKHA	RAJASTHAN	334803	No	0
20	SIMANTA BARPUJARI	AP2400000221	Individual	Approved	KAMAKHYA GATEGAMAKHYA GATEGUWAHATI	GUWAHATI	ASSAM	781009	No	0
21	CHANDER PRAKASH	AP2400000231	Individual	Approved	h.no. 110gali no.3shiv colony	SRI GANGANAGAR	RAJASTHAN	335001	No	0

22	PAWAN KUMAR NAGLA	NSE AP240000261 BSE AP0165630160002	Individual	Approved	H NO. 6-26-1405NEW GUNJ ROAD3 SUBHASH NAGARBESIDE RADHA KRISHNA TEMPLENIZAMABAD	NIZAMABAD	TAMILNADU	503002	No	0
23	SHRWAN KUMAR PRAJAPAT	NSE AP240000271 BSE AP0165630159977 MCX 159038	Individual	Approved	aadrash nagarward no. 15..	RATANGARH	RAJASTHAN	331022	Yes	4
24	NAVEEN ASHOK GARG	NSEAP2400000281 BSE AP01656301127033 MCX 106262	Individual	Approved	pitru smrutimaheshwari roadnear radhakisan plotakolaakola	AKOLA	MAHARASTA	444001	Yes	5
25	HARPREET SINGH	AP240000291	Individual	Approved	893RD BLOCKOLD ABADIWARD NO. 16NEAR GREEN PARKSRI GANGANAGAR	SRI GANGANAGAR	RAJASTHAN	335001	Yes	1
26	RAJESH KUMAR JHUNTHRA	AP240000301	Individual	Approved	7D35JAWAHAR NAGARSRI GANGANAGAR	SRI GANGANAGAR	RAJASTHAN	335001	No	0
27	ARTI AGARWAL	AP240000311	Individual	Approved	163sukhariashoppingcentresri ganganagar	SRI GANGANAGAR	RAJASTHAN	335001	No	0
28	BINOD MISHRA	AP240000321	Individual	Approved	b-1203cosmos heightcrossing repubigaziabad	GHAZIABAD	UTTAR PRADESH	201016	No	1
29	ROHIT GOENKA	AP240000331	Individual	Approved	WARD 18PANCHAYATI SCHOOL KE PICHHE RAJGADIA MOHALLA BHADRA	BHADRA	RAJASTHAN	335501	No	2
30	SANDEEP KUMAR	AP240000341	Individual	Approved	ward no.-11house no. 11-abehiend gour dharamshalanear sbi bankold abadi	SRI GANGANAGAR	RAJASTHAN	335001	No	0
31	AMIT KUMAR	AP240000361	Individual	Approved	1st FLOOR BIKANER ROAD near lahoti pump	SURATGARH	RAJASTHAN	335804	No	1
32	RAMAN KUMAR ARYA	AP240000371	Individual	Approved	scf kesrian street near istri bhawan sarafa bazar	FAZILKA	PUNJAB	152123	Yes	2
33	SUNITA GUPTA	AP240000381	Individual	Approved	2/18RHB COLONYWARD NO.5HANUMAN GARH JUNCTION	HANUMANGARH	RAJASTHAN	335512	No	0
34	RAJNISH GOYAL	AP240000391	Individual	Approved	H.NO. 1046NEAR SABZI MANDI CHOWKWARD NO. 14PURANI ABADI	SRI GANGANAGAR	RAJASTHAN	335001	No	0
35	RAJESH GUPTA	AP240000401	Individual	Approved	368 BHATTU ROAD, KITI KAILASH COLONY, FATEHABAD	FATEHABAD	HARIYANA	125050	Yes	2
36	SIDDHARTH RAI MANGALA	AP240000411	Individual	Approved	17/40 NEW DHAN MANDI SRI GANGANAGAR	SRI GANGANAGAR	RAJASTHAN	335001	Yes	0
37	SUSHMA KARAMCHAN DANI	NSE AP240000421 MCX 126009	Individual	Approved	827 SHASTRI NAGAR DADABADI KOTA	KOTA	RAJASTHAN	324009	Yes	1
38	LAXMI DEVI	AP240000431	Individual	Approved	1d-9adarsh colonyward no.7anupgarhanupgarh	ANUPGARH	RAJASTHAN	335701	Yes	1
39	RAVI KUMAR	AP240000441	Individual	Approved	352SUKHWANTCINEMA KE PICHEWARD NO. 14	SRI GANGANAGAR	RAJASTHAN	335001	No	0
40	ADARSH KUMAR AGARWAL	AP240000451	Individual	Approved	2017 GULAM ABBAS LANE HAORA (m.cORP)HOWRAH SALKIA HOWRAH	HAORA	WEST BENGAL	711108	Yes	2
41	GEETU KAMRA	AP240000461	Individual	Approved	S-04PUNJABI CITYPUNJABI CITY	SRI GANGANAGAR	RAJASTHAN	335001	Yes	0
42	RAKESH KUMAR	AP240000471	Individual	Approved	121cement galiward no. 18bhawani mandijhalawad	JHALAWAR	RAJASTHAN	326502	No	0
43	SIMA MAITRA	NSE AP240000481 MCX 138199	Individual	Approved	372-2 KALITALA PARK SOUTH BANSDRONI BANSDRONI	24 PARGANAS (S)	WEST BENGAL	700070	Yes	2
44	SUBHASRI BOSE SENGUPTA	AP240000491	Individual	Approved	111LAKE GARDENRAJPUR SONARPUR (M) SOUTH 24 PARGANAS	24 PARGANAS (S)	WEST BENGAL	700153	Yes	2



45	SAMEER SACHDEVA	NSE AP240000501 BSE AP01656301117 659	Individual	Approved	8 GEETA MANDIR CIRCULAR ROAD ABOHAR	ABOHAR	PUNJAB	15211 6	Yes	3
46	SANJAY BHATIA	AP2400000511	Individual	Approved	HOUSE NO. 112-B STREET NO.3 SETIA COLONY	SRI GANGANAGAR	RAJASTHAN	33500 1	Yes	1
47	SARMISTHA SUR ROY	AP2400000541	Individual	Approved	LAXMI COLONY MAIN ROAD DASHARATH PALLY SILIGURI (M.CORP) SEVOKE ROAD JALPAIGURI	JALPAIGURI	WEST BENGAL	73400 1	Yes	2
48	RAVI YADAV	AP2400000531	Individual	Approved	newpipradihSTATION ROADCHANDARPURA	BOKARO	JHARKHAND	82840 3	No	0
49	TAPATI GHOSH	AP2400000521	Individual	Approved	47/1 PURBAPARA ROADPURBA BARISHATHAKURPUKURS OUTH TWENTY FOUR PARGANASSOUTH TWENTY FOUR PARGANAS	TWENTY FOUR PARGANAS SOUTH	WEST BENGAL	70006 3	No	0
50	REENA NAVEEN GARG	MCX 128487 NCDEX 126369	Individual	Approved	PITRU SMRUTI MAHESHWARI BHAVAN ROAD,NEW RADHAKISAN PLOT	Akola	MAHARASTRA	44400 1	No	0
51	NISHANT ASHOK GARG	MCX 134177 NCDEX 126370	Individual	Approved	PITRU SMRUTI MAHESHWARI BHAVAN ROAD,NEW RADHAKISAN PLOT	Akola	MAHARASTRA	44400 1	Yes	1
52	ASMITA ROY GHOSH	145230	Individual	Approved	C/O DINABANDHU GHOSH,BRINDABONPUR, WARD NO. 1 ARAMBAG, HOOGHLY.	Hooghly	WEST BENGAL	71260 1	No	0

**List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons**

Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
1	NA	NA	NA	NA